



Docket No.: WEBSEN.034A

Customer No. 20,995

#4  
5/18/04  
A.W.

### INFORMATION DISCLOSURE STATEMENT

Applicant : Kester, et al.  
App. No. : 10/017,750  
Filed : December 7, 2001  
For : SYSTEM AND METHOD FOR  
ADAPTING AN INTERNET FILTER  
Examiner : Unknown  
Group Art Unit : 2171

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APR 22 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Technology Center 2100

Dear Sir:

Enclosed is form PTO-1449 listing thirty one (31) references that are also enclosed.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

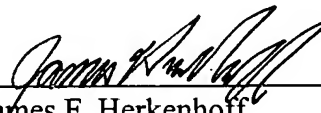
Respectfully submitted,

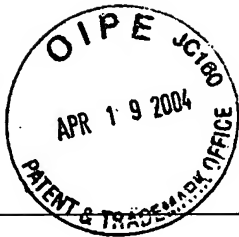
KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: \_\_\_\_\_

4/14/04

By: \_\_\_\_\_

  
James F. Herkenhoff  
Registration No. 51,241  
Attorney of Record  
Customer No. 20,995  
(619) 235-8550



2171

PATENT

Case Docket No. WEBSSEN.034A

Date: April 14, 2004

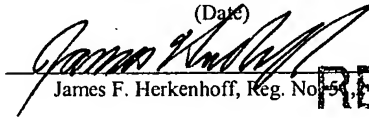
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Kester, et al.  
Appl. No. : 10/017,750  
Filed : December 7, 2001  
For : SYSTEM AND METHOD FOR  
ADAPTING AN INTERNET  
FILTER  
Examiner : Unknown  
Group Art Unit : 2171

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

April 14, 2004

(Date)

  
James F. Herkenhoff, Reg. No. 51,241

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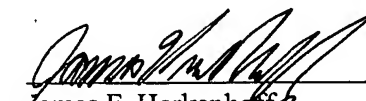
TRANSMITTAL LETTER

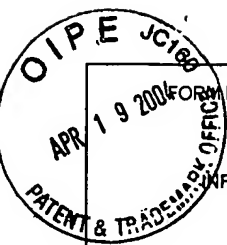
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with thirty one (31) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

  
James F. Herkenhoff  
Registration No. 51,241  
Attorney of Record  
Customer No. 20,995  
(619) 235-8550



|  |                                 |                               |
|--|---------------------------------|-------------------------------|
| FORM PTO-1449<br>U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE<br>INFORMATION DISCLOSURE STATEMENT<br>BY APPLICANT<br><br>(USE SEVERAL SHEETS IF NECESSARY) | ATTY. DOCKET NO.<br>WEBSEN.034A | APPLICATION NO.<br>10/017,750 |
|  | APPLICANT<br>Kester, et al.     |                               |
|  | FILING DATE<br>December 7, 2001 | GROUP<br>2171                 |

## U.S. PATENT DOCUMENTS

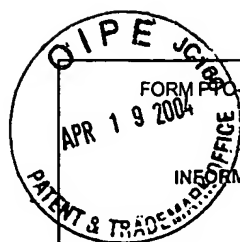
| EXAMINER<br>INITIAL |    | DOCUMENT NUMBER | DATE     | NAME              | CLASS | SUBCLASS | FILING DATE<br>(IF APPROPRIATE) |
|---------------------|----|-----------------|----------|-------------------|-------|----------|---------------------------------|
|                     | 1  | 4,423,414       | 12/27/83 | Bryant et al.     |       |          |                                 |
|                     | 2  | 4,941,084       | 07/10/90 | Terada et al.     |       |          |                                 |
|                     | 3  | 5,493,692       | 2/20/96  | Theimer, et al.   |       |          |                                 |
|                     | 4  | 5,548,729       | 08/20/96 | Akiyoshi et al.   |       |          |                                 |
|                     | 5  | 5,555,376       | 9/10/96  | Theimer, et al.   |       |          |                                 |
|                     | 6  | 5,682,325       | 10/28/97 | Lightfoot, et al. |       |          |                                 |
|                     | 7  | 5,696,486       | 12/9/97  | Poliquin, et al.  |       |          |                                 |
|                     | 8  | 5,696,898       | 12/9/97  | Baker, et al.     |       |          |                                 |
|                     | 9  | 5,774,668       | 6/30/98  | Choquier, et al.  |       |          |                                 |
|                     | 10 | 5,787,427       | 7/28/98  | Benantar, et al   |       |          |                                 |
|                     | 11 | 5,832,228       | 11/3/98  | Holden, et al.    |       |          |                                 |
|                     | 12 | 5,832,503       | 11/3/98  | Malik, et al.     |       |          |                                 |
|                     | 13 | 5,848,233       | 12/08/98 | Radia et al.      |       |          |                                 |
|                     | 14 | 5,850,523       | 12/15/98 | Gretta, Jr.       |       |          |                                 |
|                     | 15 | 5,892,905       | 4/6/99   | Brandt, et al.    |       |          |                                 |
|                     | 16 | 5,896,502       | 4/20/99  | Shieh, et al.     |       |          |                                 |
|                     | 17 | 5,941,947       | 8/24/99  | Brown, et al.     |       |          |                                 |
|                     | 18 | 5,958,015       | 9/28/99  | Dascalu           |       |          |                                 |
|                     | 19 | 5,991,807       | 11/23/99 | Schmidt, et al.   |       |          |                                 |
|                     | 20 | 6,052,723       | 4/18/00  | Ginn              |       |          |                                 |
|                     | 21 | 6,065,059       | 5/16/00  | Shieh, et al.     |       |          |                                 |
|                     | 22 | 6,182,118 B1    | 1/30/01  | Finney, et al.    |       |          |                                 |
|                     | 23 | 6,338,088 B1    | 1/8/02   | Waters, et al.    |       |          |                                 |
|                     | 24 | 6,456,306 B1    | 9/24/02  | Chin, et al.      |       |          |                                 |

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|--|-----------------|
| EXAMINER   | DATE CONSIDERED |
| *EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT. |                 |



FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
WEBSEN.034AAPPLICATION NO.  
10/017,750INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
Kester, et al.FILING DATE  
December 7, 2001GROUP  
2171

## FOREIGN PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT NUMBER | DATE     | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|---------------------|----|-----------------|----------|---------|-------|----------|-------------|----|
|                     |    |                 |          |         |       |          | YES         | NO |
|                     | 25 | 0 658 837 A2    | 12/13/94 | EPO     |       |          |             |    |
|                     | 26 | WO 92/19054     | 10/29/92 | PCT     |       |          |             |    |
|                     | 27 | WO 96/42041     | 12/27/96 | PCT     |       |          |             |    |
|                     |    |                 |          |         |       |          |             |    |
|                     |    |                 |          |         |       |          |             |    |
|                     |    |                 |          |         |       |          |             |    |
|                     |    |                 |          |         |       |          |             |    |

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EXAMINER  
INITIAL

## OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

|  |    |  |
|--|----|--|
|  | 28 | Sandhu, et al., Access Control: Principles and Practice, IEEE Communications Magazine, pp. 40-48, September 1994   |
|  | 29 | C. L. Schuba and E. H. Spafford. Countering abuse of name-based authentication. In 22nd Annual Telecommunications Policy Research Conference, 21 pp., 1996   |
|  | 30 | SurfControl plc, SuperScout Web Filter Reviewer's Guide, 36 pp., 2002  |
|  | 31 | Yiaelis, et al., Role-Based Security for Distributed Object Systems, Proceedings of the IEEE Fifty Workshops on Enabling Technology: Infrastructure for Collaborative Enterprises (WET ICE '96), 6 pp., June 19-21, 1996 |
|  |    |  |

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040804

EXAMINER

DATE CONSIDERED

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